

## Overview

### 1. Audit Information

Audit report number:	<b>0697989-02</b>
Audit company:	<b>ERM</b>
Lead Auditor:	<b>Hanna Juras</b>
Co-Auditor:	<b>Uwe Beständig</b>

Audit Date: (yyyy-mm-dd)	<b>2023-12-07</b>
Audit type:	<b>initial</b>
Follow up report number: (if applicable)	
Witnessed audit?	<b>no</b>

### 2. Supplier Information

Company name (legal entity):	<b>Grillo-Werke Aktiengesellschaft</b>
Company site name (if different):	<b>Grillo Chemical Division - Duisburg</b>
Supplier ID:	<b>04198</b>
Duns number:	<b>31-562-9667</b>
Tax number:	<b>DE811166840</b>
Valid business license?	<b>yes</b>
Date of validity: (yyyy-mm-dd)	
Business license number:	<b>Duisburg District Court Registry number: HRB 105</b>
Site date of foundation: (yyyy-mm-dd)	<b>1905-02-14</b>

Site contact name:	<b>Dr. Ingo Biertümpel</b>
Function / Job title:	<b>Site Management Duisburg</b>
Contact phone number:	<b>+49 203 5557-297</b>
Contact email:	<b>i.biertuempel@grillo.de</b>
Site state / province:	<b>Nordrhein-Westfalen</b>
Site country:	<b>Germany</b>

### 3. Audit Findings

Answered questions	<b>145 (of 145)</b>
Thereof questions answered with n/a	<b>7 (4,8%)</b>

Total Score	<b>94%</b>
Total Points / max. Points	<b>188 / 200</b>

Finding Category	Minor	Major	Critical	Total Points [max. Points]
Environment	2	0	0	36 [40]
Health & Safety	2	0	0	56 [60]
Labor & Human Rights	1	0	0	58 [60]
Management	1	0	0	18 [20]
Governance	0	0	0	20 [20]
<b>Total</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>188 [200]</b>

**Please note: Not all questions have been answered!**

### Additional information:

Have there been investigations or legal proceedings regarding corruption / bribery / fraud in the last five years?	<b>no</b>
Are hazardous goods produced or worked with in production?	<b>yes</b>
Does the company operate containment facilities to temporarily contain fire water, including rain?	<b>yes</b>
Is the company considered energy intensive (high carbon footprint)?	<b>yes</b>
Is electrical power purchased by the site generated by traditional fossil fuels (fuel oil, coal, diesel, LPG, natural gas)?	<b>yes</b>
Is the company fresh water intensive and poses a water scarcity risk to the environment in which it operates?	<b>no</b>
Where is the supplier site located?	<b>close to urban/residential areas</b>